

SAU1614



Attorney Docket No.: MIJ-001US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED

AUG 14 2000

TECH CENTER 1600/2900

Applicant: Richard Edward Thomas

Group Art Unit: 1614

Serial No.: 09/297,652

Examiner:

Filed: July 8, 1999

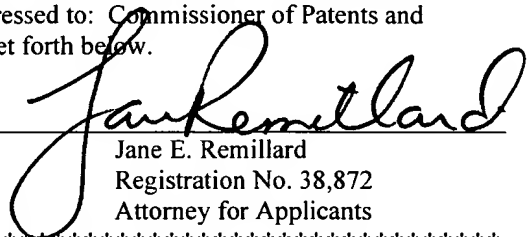
For: SYNERGISTIC GOLD-CONTAINING COMPOSITIONS

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231 on the date set forth below.

August 7, 2000

Date of Signature
and of Mail Deposit

By


Jane E. Remillard
Registration No. 38,872
Attorney for Applicants

Assistant Commissioner for Patents
Washington, D.C. 20231

AUTHORIZATION TO CHARGE DEPOSIT ORDER ACCUNT

Sir:

Enclosed herewith is a copy of our Deposit Account statement (Account #12-0080) dated June 30, 1999. On June 3, 1999 our account was charged \$332 for fee code 960 (PTO not ISA or IPEA) and \$306 for fee code 966 (Claims – extra total (over twenty)). However, our account should not have been charged because we enclosed a check in the total amount of \$638 on May 4, 1999 when we filed the application. Therefore, on August 19, 1999 we filed a Request for a Refund to our Deposit Order Account (a copy of which is enclosed along with enclosures) for the total amount of \$638.

Also enclosed is a copy of our Deposit Account statement dated May 31, 2000. As you will note from this statement, our account was credited \$332 for fee code 960

AUG 14 2000

RECEIVED

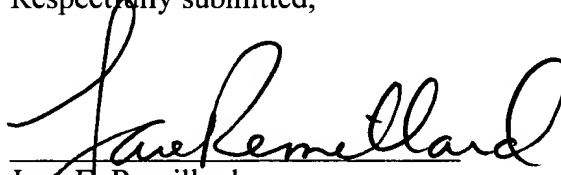
TECH CENTER 1600/2900

AUG 12 2000

(PTO not ISA or IPEA) and \$306 for fee code 966 (Claims – extra total (over twenty)).

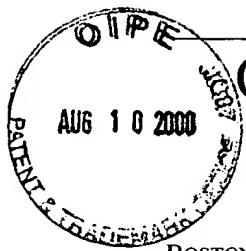
In addition, our account was also credited \$638 for fee code 704. We believe that the U.S. Patent and Trademark inadvertently credited us twice, therefore, canceling out the \$638 check which was originally sent on May 4, 2000 when we filed the above-referenced patent application. Therefore, we authorize the charge of \$638 to our Deposit Order account so that this application is up to date. For this purpose, we enclose a duplicate copy of this letter.

Respectfully submitted,


Jane E. Remillard
Registration No. 38,872
Attorney for Applicant

LAHIVE & COCKFIELD, LLP
28 State Street
Boston, MA 02109
Tel. (617)227-7400

Dated: August 7, 2000



LAHIVE
&
COCKFIELD
L L P

COUNSELLORS AT LAW
28 STATE STREET
BOSTON, MASSACHUSETTS 02109-1784
TELEPHONE (617) 227-7400
FAX (617) 742-4214
lc@lahcoc.com

JOHN A. LAHIVE, JR. (1928-1997)
THOMAS V. SMURZYNSKI
RALPH A. LOREN
GIULIO A. DeCONTI, JR.
ANN LAMPORT HAMMITTE
ELIZABETH A. HANLEY
AMY BAKER MANDRAGOURAS
ANTHONY A. LAURENTANO
KEVIN J. CANNING
JANE E. REMILLARD
JEANNE M. DIGIORGIO
DEBRA J. MILASINCIC, Ph.D.
NICHOLAS P. TRIANO, III
WILLIAM D. DeVAUL

CHI SUK KIM
DAVID J. RIKKERS
DAVID R. BURNS
JOHN S. CURRAN

SENIOR COUNSEL
JAMES E. COCKFIELD

OF COUNSEL
JEREMIAH LYNCH
WILLIAM A. SCOFIELD, JR.
PETER C. LAURO *
DeANN FORAN SMITH**

* Admitted in NY only
** Admitted in MI only
*** Passed the Patent Bar Examination

PATENT AGENTS
MEGAN E. WILLIAMS, Ph.D.

TECHNICAL SPECIALISTS
MARIA LACCOTRIPE ZACHARAKIS, Ph.D.***
CYNTHIA M. SOROOS
PETER W. DINI, Ph.D.
LAUREN C. FOSTER, D.Sc.
EUIHOON LEE
ERICK B. BERGLUND, Ph.D.
LISA M. DIROCCO
JENNIFER K. ROSENFELD
JUDITH STONE-HULSLANDER, Ph.D.

August 7, 2000

RECEIVED

AUG 14 2000

TECH CENTER 1600/2900

Assistant Commissioner for Patents
Washington, D.C. 20231

Re: U.S. Patent Application Serial No. 09/297,652
Title: *SYNERGISTIC GOLD-CONTAINING COMPOSITIONS*
Applicant: Richard Edward Thomas
Filed: May 4, 1999
Accepted: July 8, 1999
Attorney Docket No.: MIJ-001US

Dear Sir:

Enclosed herewith for filing in the above-referenced matter, please find the following:

- (1) Authorization to Charge Deposit Order Account No. 12-0080
in the total amount of \$638 (\$332 for fee code 960 and \$306 for fee code 966);
- (2) Copy of Deposit Account statement dated June 30, 1999;
- (3) Copy of Request for Refund (filed August 19, 1999) with enclosures; and
- (4) Copy of Deposit Account statement dated May 31, 2000.

Please charge any necessary fees to our Deposit Account No. 12-0080. The undersigned requests any extensions of time necessary to respond. A duplicate of this sheet is enclosed.

I hereby certify that this correspondence is deposited with the
United States Postal Service as first class mail in an envelope
addressed to: Assistant Commissioner for Patents, Washington,
DC 20231 on:

8/7/00

Date

Jane E. Remillard, Reg. No. 38,872

Respectfully submitted,

LAHIVE & COCKFIELD, LLP

Jane E. Remillard, Esquire
Reg. No. 38,872

JER:mdm
Enclosures



WED 13:58 FAX 703 308 3491

DEPOSIT ACCOUNTS

002

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231MONTHLY STATEMENT
OF DEPOSIT ACCOUNTTo replenish your Deposit Account, detach and
return top portion with your check. Make check
payable to Commissioner of Patents & Trademarks.LAHIVE & COCKFIELD, L.L.P.
RITA M. RILEY
28 STATE STREET

BOSTON MA 02109

FINA

COPY

PLEASE SEND REMITTANCES TO:
Patent and Trademark Office
P.O. Box 70541
Chicago, Ill. 60673

Account No.	120080
Date	6-30-99
Page	1

DATE POSTED			CONTROL NO.	DESCRIPTION (Serial, Patent, TM, Order)	DOCKET NO.	FEE CODE	CHARGES/ CREDITS	BALANCE
MO.	DAY	YR.						
6	1	99	13	08582333	✓ 1CPI-012CP5	148✓	110.00	5814.50
6	1	99	123	60135545	✓ 065-1 NCI-004	114✓	150.00	5664.50
6	2	99	1	08175158	✓ 061-005CP2	228✓	925.00	4739.50
6	3	99	1	08920162	✓ PPI-016CP4	102	234.00	4505.50
6	3	99	20	PAYMENT		701	13554.00	18059.50
6	3	99	55	09297652	MIJ-001US	960	332.00	17727.50
6	3	99	57	09297652	MIJ-001US	966	306.00	17421.50
6	3	99	78	09238466	TTI-184	126	240.00	17181.50
6	4	99	2	08790721	DAS-026	248	55.00	17126.50
6	4	99	16	09245536	IHM-208	102	78.00	17048.50
6	4	99	42	09317540	PAS-090	102	156.00	16892.50
6	4	99	326	PCT/US99/11941	HUI-035CPPC	150	240.00	16652.50
6	4	99	327	PCT/US99/11941	HUI-035CPPC	802	1338.00	15314.50
6	4	99	328	PCT/US99/11941	HUI-035CPPC	800	455.00	14859.50
6	4	99	329	PCT/US99/11941	HUI-035CPPC	801	610.00	14249.50
6	4	99	330	PCT/US99/11941	HUI-035CPPC	899	420.00	13829.50
6	4	99	331	PCT/US99/11941	HUI-035CPPC	566	15.00	13814.50
6	7	99	20	09153646	HDC-004	126	240.00	13574.50
6	7	99	623	PCT/US99/12134	-CPI-093PC	704	-111.00	13685.50
6	7	99	630	PCT/US99/12135	-CPI-090CPPC	704	-111.00	13796.50
6	8	99	5	09319290	HKZ-015US	970 OK	840.00	12956.50
6	8	99	6	09319290	HKZ-015US	966 OK	180.00	12776.50
6	8	99	7	08960555	CGR-012CPA	216	190.00	12586.50
6	8	99	8	08960555	CGR-012CPA	219	150.00	12436.50
6	8	99	42	09221236	MNI-050DIV2	102P	234.00	12202.50
6	9	99	2	09221928	-MVI-050DV4	704	-130.00	12332.50
6	9	99	95	08860066	FHW-020US	231P	380.00	11952.50
6	9	99	161	08464000	IMI-018CN2	203P	63.00	11889.50
6	9	99	215	08860066	FHW-020US	217P	380.00	11509.50
6	10	99	2	08583807	SCF-009FW	202	78.00	11431.50
6	10	99	19	75978445		361	735.00	10696.50
6	10	99	83	617676	CVS-007	464P	15.00	10681.50
6	10	99	276	PCT/US99/12558	TMI-030PC	150	240.00	10441.50
6	10	99	277	PCT/US99/12558	TMI-030PC	802	1227.00	9214.50
6	10	99	278	PCT/US99/12558	TMI-030PC	800	455.00	8759.50
6	10	99	279	PCT/US99/12558	TMI-030PC	899	210.00	8549.50

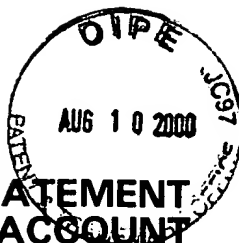
AN AMOUNT SUFFICIENT TO
COVER ALL SERVICES REQUESTED
MUST ALWAYS BE ON DEPOSIT.

OPENING BALANCE

TOTAL CHARGES

TOTAL CREDITS

CLOSING BALANCE



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

MONTHLY STATEMENT
OF DEPOSIT ACCOUNT

To replenish your Deposit Account, detach and
return top portion with your check. Make check
payable to Commissioner of Patents & Trademarks.

RECEIVED
LAHIVE & COCKFIELD
DOCKET DEPT.

JUN 21 2000

FINA

RETRIEVED:

FORWARDED:

Account No.	120080
Date	5-31-00
Page	2

LAHIVE & COCKFIELD, L.L.P.
RITA M. RILEY
28 STATE STREET

BOSTON MA 02109

PLEASE SEND REMITTANCES TO:
Patent and Trademark Office
P.O. Box 70541
Chicago, Ill. 60673

COPY

DATE POSTED			CONTROL NO.	DESCRIPTION (Serial, Patent, TM, Order)	DOCKET NO.	FEE CODE	CHARGES/ CREDITS	BALANCE
MO.	DAY	YR.						
5	12	00	4	5981265	CPI-004CN3	145	100.00	29127.50
5	12	00	597	PCT/US00/12435	GNN-003PC	150	240.00	28887.50
5	12	00	598	PCT/US00/12435	GNN-003PC	802	990.00	27897.50
5	12	00	599	PCT/US00/12435	GNN-003PC	800	427.00	27470.50
5	12	00	600	PCT/US00/12435	GNN-003PC	801	580.00	26890.50
5	12	00	601	PCT/US00/12435	GNN-003PC	899	736.00	26154.50
5	12	00	602	PCT/US00/12435	GNN-003PC	566	15.00	26139.50
5	16	00	100	1607409	FBM-003	372	100.00	26039.50
5	17	00	685	PCT/US00/12997	THI-001PC	150	240.00	25799.50
5	17	00	686	PCT/US00/12997	THI-001PC	802	990.00	24809.50
5	17	00	687	PCT/US00/12997	THI-001PC	800	427.00	24382.50
5	17	00	688	PCT/US00/12997	THI-001PC	801	440.00	23942.50
5	17	00	689	PCT/US00/12997	THI-001PC	899	460.00	23482.50
5	18	00	70	09056383	GI5317	102	546.00	22936.50
5	22	00	10	09400813		704	-905.00	23841.50
5	22	00	134	08894458	SHI-004US	217	435.00	23406.50
5	22	00	170	09237571	MEI-010CP	102	312.00	23094.50
5	24	00	1	09238466	TTI-184	216	190.00	22904.50
5	25	00	2	09026001	GI-5293B	102	2652.00	20252.50
5	25	00	3	09026001	GI-5293B	103	4482.00	15770.50
5	25	00	8	60135545	NCI-065PC	567	30.00	15740.50
5	25	00	22	1136994		365	100.00	15640.50
5	25	00	134	09406997	DNI-045CP	201	35.00	15605.50
5	25	00	203	09554343	HHI-023US	970	840.00	14765.50
5	26	00	8	09297652	MIJ-001US	960	-332.00	15097.50
5	26	00	10	09297652	MIJ-001US	966	-306.00	15403.50
5	26	00	11	09297652		704	-638.00	16041.50
5	26	00	269	60135545	NCI-065PC	567	30.00	16011.50
5	30	00	23	PCT/US98/11048	DFN-023PC	801	90.00	15921.50
5	30	00	734	09308125		704	-320.00	16241.50
5	31	00	1	09365136	MOI-002CP	248	55.00	16186.50
5	31	00	196	09423890		215	55.00	16131.50
AN AMOUNT SUFFICIENT TO COVER ALL SERVICES REQUESTED MUST ALWAYS BE ON DEPOSIT.				OPENING BALANCE 16946.50	TOTAL CHARGES 25608.00	TOTAL CREDITS 24793.00	CLOSING BALANCE 16131.50	

*** O.D. INDICATES OVERDRAWN



COPY

524 Rec'd **PL PTO** 23 AUG 1999

"Synergistic Gold Containing Compositions"

Office No. MIJ-001US

**THE "RECEIVED" STAMP OF THE PATENT AND TRADEMARK OFFICE
IMPRINTED HEREON ACKNOWLEDGES THE FILING OF:**

Description of Paper* and No.: Request for Refund (2 pgs.) (in dup.); Copy of Deposit Order
Account Statement No. 12-0080) (1 pg.); Copy of \$638 Check; Copy of Stamped Postcard Receipt;
Copy of Executed Small Entity Statement; Certificate of Mailing; and Mailroom Postcard

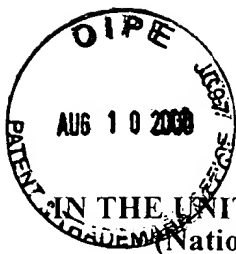
Name of Applicant(s): Richard Edward Thomas

Intf. or Serial No.: 09/297,652

Atty: Jane E. Remillard

Date: August 19, 1999

*with Certificate of First Class Mailing



Applicant: Richard Edward Thomas

Serial No.: 09/297,652

Filed: May 4, 1999

For: SYNERGISTIC GOLD-CONTAINING COMPOSITIONS

Attorney Docket No.: MIJ-001US

COPY

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Box PCT, Washington, D.C. 20231 on the date set forth below

August 19, 1999

Date of Signature
and of Mail Deposit

By

Jane E. Remillard

Registration No. 38,872

Attorney for Applicants

Assistant Commissioner for Patents
BOX PCT
Washington, D.C. 20231

Attn: Refund Section
Accounting Division
Office of Finance

REQUEST FOR REFUND

Sir:

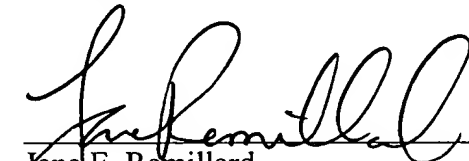
Enclosed herewith is a copy of our Deposit Account statement (Account #12-0080) dated June 30, 1999. On June 3, 1999 our account was charged \$332 for fee code 960 (PTO not ISA or IPEA) and \$306 for fee code 966 (Claims – extra total (over twenty)). However, our account should not have been charged because when we filed the above-referenced patent application on May 4, 1999, we enclosed a check for the total amount of \$638. Also enclosed is a copy of the check and a stamped postcard

receipt. An executed Small Entity Statement was filed in this matter on July 8, 1999, a copy of which is also enclosed.

Accordingly, please credit our Deposit Account No. 12-0080 for the \$638 fee which was erroneously charged to our account on June 3, 1999. For this purpose, we enclose a duplicate copy of this letter.

Respectfully submitted,

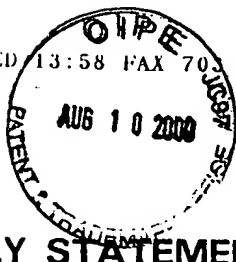
COPY



Jane E. Remillard
Registration No. 38,872
Attorney for Applicants

LAHIVE & COCKFIELD, LLP
28 State Street
Boston, MA 02109
Tel. (617)227-7400

Dated: August 19, 1999


UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office

 Address: COMMISSIONER OF PATENTS AND TRADEMARKS
 Washington, D.C. 20231

MONTHLY STATEMENT
OF DEPOSIT ACCOUNT

 To replenish your Deposit Account, detach and
 return top portion with your check. Make check
 payable to Commissioner of Patents & Trademarks.

COPY

Account No.	120080
Date	6-30-99
Page	1

 LAHIVE & COCKFIELD, L.L.P.
 RITA M. RILEY
 28 STATE STREET

FINA

BOSTON MA 02109

 PLEASE SEND REMITTANCES TO:
 Patent and Trademark Office
 P.O. Box 70541
 Chicago, Ill. 60673

DATE POSTED			CONTROL NO.	DESCRIPTION (Serial, Patent, TM, Order)	DOCKET NO.	FEE CODE	CHARGES/ CREDITS	BALANCE
MO.	DAY	YR.						
6	1	99	13	08582333	✓ 1CPI-012CP5	148✓	110.00	5814.50
6	1	99	123	60135545	✓ 065-1 NCI-064	114✓	150.00	5664.50
6	2	99	1	08175158	✓ 061-005CP2	228✓	925.00	4739.50
6	3	99	1	08920162	✓ PPI-016CP4	102	234.00	4505.50
6	3	99	20	PAYMENT		701	13554.00	18059.50
6	3	99	55	09297652	MIJ-001US	960	332.00	17727.50
6	3	99	57	09297652	MIJ-001US	966	306.00	17421.50
6	3	99	78	09238466	TTI-184	126	240.00	17181.50
6	4	99	2	08790721	DAS-026	248	55.00	17126.50
6	4	99	16	09245536	IHM-208	102	78.00	17048.50
6	4	99	42	09317540	PAS-090	102	156.00	16892.50
6	4	99	326	PCT/US99/11941	HUI-035CPPC	150	240.00	16652.50
6	4	99	327	PCT/US99/11941	HUI-035CPPC	802	1338.00	15314.50
6	4	99	328	PCT/US99/11941	HUI-035CPPC	800	455.00	14859.50
6	4	99	329	PCT/US99/11941	HUI-035CPPC	801	610.00	14249.50
6	4	99	330	PCT/US99/11941	HUI-035CPPC	899	420.00	13829.50
6	4	99	331	PCT/US99/11941	HUI-035CPPC	566	15.00	13814.50
6	7	99	20	09153646	HDC-004	126	240.00	13574.50
6	7	99	623	PCT/US99/12134	-CPI-053PC	704	-111.00	13685.50
6	7	99	630	PCT/US99/12135	-CPI-050CPPC	704	-111.00	13796.50
6	8	99	5	09319290	HKZ-015US	970 OK	840.00	12956.50
6	8	99	6	09319290	HKZ-015US	966 OK	180.00	12776.50
6	8	99	7	08960555	CGR-012CPA	216	190.00	12586.50
6	8	99	8	08960555	CGR-012CPA	219	150.00	12436.50
6	8	99	42	09221236	MNI-050DIV2	102P	234.00	12202.50
6	9	99	2	09221928	-061-050DIV4	704	-130.00	12332.50
6	9	99	95	08860066	FHW-020US	231P	380.00	11952.50
6	9	99	161	08464000	IMI-018CN2	203P	63.00	11889.50
6	9	99	215	08860066	FHW-020US	217P	380.00	11509.50
6	10	99	2	08583807	SCF-009FW	202	78.00	11431.50
6	10	99	19	75978445		361	735.00	10696.50
6	10	99	83	617676	CVS-007	464P	15.00	10681.50
6	10	99	276	PCT/US99/12558	TMI-030PC	150	240.00	10441.50
6	10	99	277	PCT/US99/12558	TMI-030PC	802	1227.00	9214.50
6	10	99	278	PCT/US99/12558	TMI-030PC	800	455.00	8759.50
6	10	99	279	PCT/US99/12558	TMI-030PC	899	210.00	8549.50

 AN AMOUNT SUFFICIENT TO
 COVER ALL SERVICES REQUESTED
 MUST ALWAYS BE ON DEPOSIT.

OPENING BALANCE

TOTAL CHARGES

TOTAL CREDITS

CLOSING BALANCE

LAHIVE & COCKFIELD, LLP

030389

012289 COMMISSIONER OF PATENTS & TRADEMARKS

05/04/99

COMPUTER
CHECK NO.

30389

YOUR INVOICE NO.	INVOICE DATE	AMOUNT	DISCOUNT	NET AMOUNT	CHECK TOTAL
MIJ-001US	05/04/99	638.00			638.00

COPY

PLEASE DETACH BEFORE DEPOSITING

LAHIVE & COCKFIELD, LLP
28 STATE STREET
BOSTON, MA 02109



CITIZENS BANK

Citizens Bank of Massachusetts, Boston, MA

030389

5-7017
2110

30389

DATE	AMOUNT
05/04/99	*\$638.00*

SIX HUNDRED THIRTY EIGHT AND 00/100 DOLLARS

TWO SIGNATURES REQUIRED FOR AMOUNT
IN EXCESS OF \$30,000.00
VOID AFTER 180 DAYS

COMMISSIONER OF PATENTS & TRADEMARKS

PAY
TO THE
ORDER
OF

⑈0030389⑈ ⑆211070175⑆1102014483⑈

COPY

SYNERGISTIC GOLD-CONTAINING COMPOSITIONS

Office No. MI-001US

THE "RECEIVED" STAMP OF THE PATENT AND TRADEMARK OFFICE
IMPRINTED HEREON ACKNOWLEDGES THE FILING OF:

Description of Paper and No.: Transmittal Letter (2 sheets in duplicate); Preliminary Amendment (6 sheets); Declaration, Petition and Power of Attorney (unexecuted) (3 sheets); Verified Statement Claiming Small Entity Status (1 sheet); Information Disclosure Statement (2 sheets) with Form PTO-1449 (1 sheet); Application as filed (28 sheets and 2 sheets of drawings); PCT Request (3 sheets); PCT Notification of Receipt of Record Copy (PCT/IB/301) (1 sheet); PCT Notification Concerning Submission of Priority Documents (PCT/IB/304) (1 sheet); PCT Notice Informing the Applicant of the Communication of the International Application into the Designated Offices (PCT/IB/308) (1 sheet); PCT Information Concerning Elected Offices Notified of their Election (PCT/IB/332) (1 sheet); PCT Notification of the Recording of a Change (PCT/IB/306) (1 sheet); PCT International Published Application (WO 98/19683) (with International Published Application attached) (33 sheets); PCT Notification of Transmittal of the International Search Report of the Declaration (7 sheets); PCT Demand (3 sheets); PCT Notification of Receipt of the Demand (1 sheet); PCT First Written Opinion (dated 22 June 1998) (4 sheets); Response to First Written Opinion (11 sheets); PCT Second Written Opinion (dated 23 October 1998) (5 sheets); PCT International Preliminary Examination Report (13 sheets); Certificate of Express Mailing (1 sheet); Check in the amount of \$638; and Return Postcard.

512 Recd PCT/PTO 09/29/99
04 MAY 1999

Name of Applicant(s): Richard Edward THOMAS

Intf. or Serial No.: PCT Chapter II US National (371) Stage of PCT/AU97/00747 filed 04 Nov, 1997

Atty: Jane E. Remillard, Esq

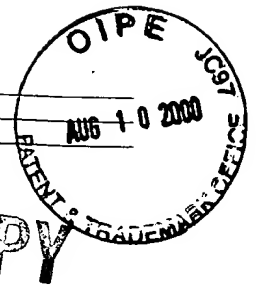
Date: 04 May 1999

*with Certificate of Express Mailing No. EL 266 737 817 US



Applicant or Patentee: Richard Ed 1 THOMAS
Serial or Patent No.: U.S. Natl base of PCT/AU97/00747
Filed or Issued: 04 Novem... 1997
Title: SYNERGISTIC GOLD-CONTAINING COMPOSITIONS

Attorney's
ocket No.: MIJ-001US



VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) and 1.27(c)) - SMALL BUSINESS CONCERN

I hereby declare that I am

- ☐ the owner of the small business concern identified below:
☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF SMALL BUSINESS CONCERN Medical Innovations Limited
ADDRESS OF SMALL BUSINESS CONCERN Unit 2, 83-85 Whiting Street, Artarmon, NSW 2064, Australia

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.12, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in:

- ☐ the specification filed herewith with title as listed above.
☒ the application identified above.
☐ the patent identified above.

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights in the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).

*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

NAME _____
ADDRESS _____
☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

NAME _____
ADDRESS _____
☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statement were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING Jeffrey GOLDER
TITLE OF PERSON OTHER THAN OWNER Director
ADDRESS OF PERSON SIGNING 24 Bussett St Mona Vale NSW 2102
SIGNATURE Jeffrey Golder DATE 2/6/99